A Dynamic Edit Distance Table^{*}

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Abstract. In this paper we consider the incremental/decremental version of the edit distance problem: given a solution to the edit distance between two strings A and B, find a solution to the edit distance between A and B' where B' = aB (incremental) or bB' = B (decremental). As a solution for the edit distance between A and B, we define the difference representation of the D-table, which leads to a simple and intuitive algorithm for the incremental/decremental edit distance problem.

1 Introduction

Given two strings A[1..m] and B[1..n] over an alphabet Σ , the *edit distance* between A and B is the minimum number of *edit operations* needed to convert A to B. The edit distance problem is to find the edit distance between A and B. Most common edit operations are the following.

- 1. change: replace one character of A by another single character of B.
- 2. deletion: delete one character from A.
- 3. *insertion*: insert one character into B.

A well-known method for solving the edit distance problem in O(mn) time uses the *D*-table [1,10]. Let D(i,j), $0 \le i \le m$ and $0 \le j \le n$, be the edit distance between A[1..i] and B[1..j]. Initially, D(i,0) = i for $0 \le i \le m$ and D(0,j) = j for $0 \le j \le n$. An entry D(i,j), $1 \le i \le m$ and $1 \le j \le n$, of the *D*-table is determined by the three entries D(i-1,j-1), D(i-1,j), and D(i,j-1). The recurrence for the *D*-table is as follows: For all $1 \le i \le m$ and $1 \le j \le n$,

$$D(i,j) = \min\{D(i-1,j-1) + \delta_{ij}, D(i-1,j) + 1, D(i,j-1) + 1\}$$
(1)

where $\delta_{ij} = 0$ if A[i] = B[j]; $\delta_{ij} = 1$, otherwise.

In this paper we consider the following incremental (resp. decremental) version of the edit distance problem: given a solution for the edit distance between A and B, compute a solution for the edit distance between A and aB (resp. B'where B = bB'), where a (resp. b) is a symbol in Σ . By a *solution* we mean some

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encoding of the D-table computed between A and B. Since essentially the same techniques can be used to solve both incremental and decremental versions of the edit distance problem, we will consider only the decremental version.

The incremental/decremental version of the edit distance problem was first considered by Landau et al. [3]. They used the C-table [2,4,5,7,9] (represented with linked lists) as a solution for the edit distance between A and B. Given a threshold k on the edit distance, their algorithm runs in O(k) time. (If the threshold k is not given, it runs in O(m + n) time.) However, the result in [3] is quite complicated.

As a solution for the edit distance between A and B, we define the difference representation of the D-table (DR-table for short). Each entry DR(i, j) in the DR-table between A and B has two fields defined as follows: For $1 \le i \le m$ and $1 \le j \le n$,

- 1. DR(i, j).U = D(i, j) D(i 1, j)
- 2. DR(i,j).L = D(i,j) D(i,j-1)

A third field DR(i, j).UL, which is defined to be D(i, j) - D(i-1, j-1), will be used later, but it need not be stored in DR(i, j) because it can be computed as DR(i, j).U + DR(i-1, j).L. Because the possible values that each of DR(i, j).Uand DR(i, j).L can have are -1, 0, and 1 [8], we need only four bits to store an entry in the DR-table. It is easy to see that the D-table can be converted to the DR-table in O(mn) time, and vice versa. We can also compute one row (resp. column) of the D-table from the DR-table in O(n) (resp. O(m)) time.

In this paper we present an O(m + n)-time algorithm for the incremental/decremental edit distance problem. Our result is much simpler and more intuitive than that of Landau et al. [3]. A key tool in our algorithm is the *change table* between the two *D*-tables before and after an increment/decrement. The change table is not actually constructed in our algorithm, but it is central in understanding our algorithm.

Our result finds a variety of applications. To verify whether a string p is an approximate period of another string x where |x| = n and |p| = m, one needs to find the edit distance between p and every substring of x [6]. A naive method that computes a D-table of size $O(m^2)$ for each position of x will take $O(m^2n)$ time, but our algorithm reduces the time complexity to O(mn) [6]. Other applications include the longest prefix match problem, the approximate overlap problem, the cyclic string comparison problem, and the text screen update problem [3].

This paper is organized as follows. In section 2, we describe the important properties of the change table. In section 3, we present our algorithm for the incremental/decremental edit distance problem.

2 Preliminary Properties

Let Σ be a finite alphabet of symbols. A string over Σ is a finite sequence of symbols in Σ . The length of a string A is denoted by |A|. The *i*-th symbol in

A is denoted by A[i] and the substring consisting of the *i*-th through the *j*-th symbols of A is denoted by A[i..j].

Let A and B be strings of lengths m and n, respectively, over Σ , and let B' = B[2..n]. Let D be the D-table between A and B and let D' be the D-table between A and B'. Also let DR be the DR-table between A and B and let DR' be the DR-table between A and B'. In this section, we prove the key properties between D and D' that enables us to compute efficiently DR' from DR.



Fig. 1. An example Ch-table

One key tool in understanding our algorithm is the *change table* (*Ch*-table for short) from D to D'. Later, when we compute DR' from DR, the first column of DR is discarded and each entry DR(i, j + 1), $0 \le i \le m$ and $0 \le j < n$, will be converted to DR'(i, j). Thus, each entry in the *Ch*-table *Ch* from D to D' is defined as follows:

$$Ch(i, j) = D'(i, j) - D(i, j + 1).$$

The Ch-table is not actually constructed in our algorithm because the initialization of the Ch-table will require $\Theta(mn)$ time. It will be used only for the description of the algorithm. See Fig. 1 for an example Ch-table.

Figure 1 suggests a property of the Ch-table: the entries of value -1 (resp. 1) appear contiguously in the upper-right (resp. lower-left) part of the Ch-table in a *staircase-shaped* region. This property is formally proved in the following series of lemmas.

Lemma 1. In the Ch-table Ch, the following properties hold.

- 1. Ch(0, j) = -1 for all $0 \le j < n$.
- 2. Ch(i,0) = 0 for all $1 \le i < k$, where k is the smallest index in A such that A[k] = B[1].
- 3. Ch(i, 0) = 1 for all $k \le i \le m$.

Proof. Immediate from the definition of the *D*-table.

Lemma 2. For $1 \le i \le m$ and $1 \le j < n$, the possible values of Ch(i, j) are in the range $\min\{Ch(i-1, j-1), Ch(i-1, j), Ch(i, j-1)\}$... $\max\{Ch(i-1, j-1), Ch(i-1, j), Ch(i, j-1)\}$.

Proof. Recall that Ch(i, j) is defined to be D'(i, j) - D(i, j + 1). By recurrence (1), D(i, j+1) is

$$\min\{D(i-1,j) + \delta_{i,j+1}, D(i-1,j+1) + 1, D(i,j) + 1\}.$$
(2)

Also, D'(i, j) is min $\{D'(i-1, j-1) + \delta'_{ij}, D'(i-1, j) + 1, D'(i, j-1) + 1\}$ where $\delta'_{ij} = 0$ if $A[i] = B'[j]; \, \delta'_{ij} = 1$, otherwise. Because B'[j] is the same symbol as $B[j+1], \, \delta'_{ij} = \delta_{i,j+1}.$ Hence,

$$D'(i,j) = \min \begin{cases} D(i-1,j) + Ch(i-1,j-1) + \delta_{i,j+1} \\ D(i-1,j+1) + Ch(i-1,j) + 1 \\ D(i,j) + Ch(i,j-1) + 1. \end{cases}$$
(3)

Note that the only differences between (2) and (3) are additional terms Ch(i-(1, j-1), Ch(i-1, j),and Ch(i, j-1) in (3). Assume without loss of generality that the second argument is minimum in (2). If the second argument is minimum in (3), the lemma holds because Ch(i,j) = Ch(i-1,j). Otherwise, assume without loss of generality that the third argument is minimum in (3). Then $Ch(i, j) = D(i, j) + Ch(i, j-1) + 1 - (D(i-1, j+1) + 1)) \ge Ch(i, j-1)$ because the second argument is minimum in (2). Also, $Ch(i, j) \leq Ch(i - 1, j)$ because the third argument is minimum in (3).

Corollary 1. The possible values of Ch(i, j) are -1, 0, and 1.

Proof. It follows from Lemmas 1 and 2.

Lemma 3. For each $0 \le i \le m$, let f(i) be the smallest integer j such that Ch(i,j) = -1. $(f(i) = n \text{ if } Ch(i,j') \neq -1 \text{ for } 0 \leq j' < n.)$ Then, Ch(i,j') = -1for all $f(i) \leq j' < n$. Furthermore, $f(i) \geq f(i-1)$ for $1 \leq i \leq m$.

Proof. We use induction on i. When i = 0, f(i) = 0 and the lemma holds by Lemma 1. Assume inductively that the lemma holds for i = k. That is, $Ch(k, j') \neq -1$ for $0 \leq j' < f(k)$ and Ch(k, j') = -1 for $f(k) \leq j < n$.

Let Ch(k+1, l) be the first entry in row k+1 that is -1. For Ch(k+1, l) to be -1, at least one of Ch(k, l-1) and Ch(k, l) must be -1 by Lemma 2. Thus, we have shown that $l = f(k+1) \ge f(k)$. It is easy to see that Ch(k+1, l') = -1 for f(k+1) < l' < n by the inductive assumption, the condition that $f(k+1) \ge f(k)$, and Lemma 2.

The following lemma is symmetric to Lemma 3 and it can be similarly proved.

Lemma 4. For each $0 \leq j < n$, let g(j) be the smallest integer i such that Ch(i,j) = 1. $(g(j) = m + 1 \text{ if } Ch(i',j) \neq 1 \text{ for } 0 \leq i' \leq m.)$ Then, Ch(i',j) = 1for all $g(j) \le i' \le m$. Furthermore, $g(j) \ge g(j-1)$ for $1 \le j < n$.

We say that an entry Ch(i, j) is affected if the values of Ch(i-1, j-1), Ch(i-1, j-1), Ch(i-1, j-1)(1, j), and Ch(i, j-1) are not the same. We also say that DR'(i, j) is affected if Ch(i, j) is affected.

Lemma 5. If DR'(i, j) is not affected, then DR'(i, j) equals DR(i, j + 1).

Proof. If DR'(i, j) is not affected, then the value of Ch(i, j) is the same as the common value of Ch(i-1, j-1), Ch(i-1, j), and Ch(i, j-1) by Lemma 2. Then DR'(i, j).U = D'(i, j) - D'(i-1, j) = D(i, j+1) + Ch(i, j) - (D(i-1, j+1) + Ch(i-1, j)) = DR(i, j+1).U. Similarly, DR'(i, j).L = DR(i, j+1).L.

We say that an entry Ch(i, j) is a (-1)-boundary (resp. 1-boundary) entry if Ch(i, j) is of value -1 (resp. 1) and at least one of Ch(i, j - 1), Ch(i + 1, j), and Ch(i + 1, j - 1) (resp. Ch(i, j + 1), Ch(i - 1, j), and Ch(i - 1, j + 1)) is not of value -1 (resp. 1).

By Lemma 5 we can conclude that in computing DR' from DR, only the affected entries need be changed. See Fig. 1 again. Because the entries whose values are -1 (or 1) appear contiguously in the *Ch*-table, the affected entries are either (-1)- or 1-boundary entries themselves or appear adjacent to (-1)- or 1-boundary entries. The key idea of our algorithm is to scan the (-1)- and 1-boundary entries starting from the upper-left corner of the *DR*-table when we compute the affected entries. Lemmas 3 and 4 imply that the number of (-1)- and 1-boundary entries in the *DR*-table is O(m + n).

3 Boundary Scan Algorithm

In this section we show how to compute DR' from DR. First, we describe how we scan the boundary entries starting from the upper-left corner of the DR'-table within the proposed time complexity. Then, we will mention the modifications to the boundary-scan algorithm which leads to an algorithm that converts DR to DR'.

For simplicity we will use the Ch-table in the description of our algorithm. However, the Ch-table is not explicitly constructed but accessed through the one-dimensional tables f() and g(). The details will be given later.

Lemma 6.

$$Ch(i,j) = \min \begin{cases} -DR(i,j+1).UL + Ch(i-1,j-1) + \delta_{i,j+1} \\ -DR(i,j+1).U + Ch(i-1,j) + 1 \\ -DR(i,j+1).L + Ch(i,j-1) + 1 \end{cases}$$

(i.e., Ch(i-1, j-1), Ch(i-1, j), Ch(i, j-1), and DR(i, j+1) are needed to compute Ch(i, j).

Proof. Recall that Ch(i, j) = D'(i, j) - D(i, j + 1). Substituting recurrence (1) for D'(i, j) and distributing D(i, j + 1) into the min function, we have $Ch(i, j) = \min\{\ldots, D'(i-1, j) - D(i, j + 1) + 1, \ldots\}$ (only the second argument is shown). Substituting D(i - 1, j + 1) + Ch(i - 1, j) for D'(i - 1, j), the second argument becomes D(i - 1, j + 1) - D(i, j + 1) + Ch(i - 1, j) + 1 = -DR(i, j + 1).U + Ch(i - 1, j) + 1. The lemma follows from similar calculations for the first and the third arguments.

Algorithm 1

Let k be the smallest index in A such that A[k] = B[1]. $(i_{-1}, j_{-1}) \leftarrow (0, 1); (i_1, j_1) \leftarrow (k, 0); f(0) \leftarrow 0; g(0) \leftarrow k$ $finished_{-1} \leftarrow \mathbf{false}$ $finished_1 \leftarrow \mathbf{false}$ while not $finished_{-1}$ or not $finished_1$ do if $i_{-1} < i_1 - 1$ then {Case 1} Compute $Ch(i_{-1} + 1, j_{-1})$. {See Fig. 4.} if $Ch(i_{-1}+1, j_{-1}) = -1$ then $i_{-1} \leftarrow i_{-1} + 1; f(i_{-1}) \leftarrow j_{-1}$ else $j_{-1} \leftarrow j_{-1} + 1$ fi else if $j_1 < j_{-1} - 1$ then {Case 2} Symmetric to Case 1. else {Case 3, $i_1 = i_{-1} + 1$ and $j_1 = j_{-1} - 1$ } Compute $Ch(i_{-1} + 1, j_{-1})$. {See Fig. 5.} if $Ch(i_{-1}+1, j_{-1}) = -1$ then $i_{-1} \leftarrow i_{-1} + 1; i_1 \leftarrow i_1 + 1; f(i_{-1}) \leftarrow j_{-1}$ else if $Ch(i_{-1}+1, j_{-1}) = 1$ then $j_{-1} \leftarrow j_{-1} + 1; j_1 \leftarrow j_1 + 1; g(j_1) \leftarrow i_1$ else $j_{-1} \leftarrow j_{-1} + 1; i_1 \leftarrow i_1 + 1$ fi fi if $i_{-1} = m$ or $j_{-1} = n$ then $finished_{-1} \leftarrow$ true fi if $i_1 = m + 1$ or $j_1 = n - 1$ then $finished_1 \leftarrow$ true fi \mathbf{od}

Fig. 2. Algorithm 1

Algorithm 1 is the boundary-scan algorithm. In the algorithm, the pair (i_{-1}, j_{-1}) (resp. (i_1, j_1)) indicates that $Ch(i_{-1}, j_{-1})$ (resp. $Ch(i_1, j_1)$) is the current (-1)-boundary (resp. 1-boundary) entry that is being scanned. The following property holds for $Ch(i_{-1}, j_{-1})$ and $Ch(i_1, j_1)$ by Lemmas 3 and 4. See Fig. 3 for an illustration.

Property 1.

1. $Ch(i, j) \neq -1$ if $i > i_{-1}$ and $j < j_{-1}$. 2. $Ch(i, j) \neq 1$ if $i < i_1$ and $j > j_1$.

In one iteration of the loop in Algorithm 1, one or both of the current boundary entries are moved to the next boundary entries. For example, the current (-1)-boundary entry is moved to the next (-1)-boundary entry which can be down or to the right of the current (-1)-boundary entry. We maintain the following invariants in each iteration of Algorithm 1.



Fig. 3. Boundary entry conditions

Invariant 1

i₋₁ < i₁ and j₋₁ > j₁.
 All values of f(0), ..., f(i₋₁) are known.
 All values of g(0), ..., g(j₁) are known.

One iteration of Algorithm 1 has three cases. Case 1 applies when the current (-1)-boundary can be moved by one entry (down or to the right) without violating Invariant 1.1. Case 2 applies when the current 1-boundary can be moved by one entry (down or to the right) without violating Invariant 1.1. Case 3 applies when moving the (-1)-boundary entry down by one entry or moving the 1-boundary entry to the right by one entry will violate Invariant 1.1, and thus both boundary entries have to be moved simultaneously. What Algorithm 1 does in each case is described in Fig. 2.



Fig. 4. Case 1

What remains to show is the methods to obtain the values of the Ch-table entries that are used to compute a new Ch-table entry, e.g., $Ch(i_{-1} + 1, j_{-1})$ in Case 1. The two subcases for Case 1 are depicted in Fig. 4. The first subcase is when $j_{-1} > j_1 + 1$. See Fig. 4 (a). The unknown values of the Ch-table entries are X and Y. By Invariant 1.2 the value of $f(i_{-1})$ is known. If $f(i_{-1}) < j_{-1}$, then X = -1. Otherwise $(f(i_{-1}) = j_{-1})$, X = 0 because X is not 1 by Property 1.1. It is easy to see that Y = 0 because Y is inside the region in which there are no (-1)'s (by Property 1.1) and no 1's (by Property 1.2). The second subcase is when $j_{-1} = j_1 + 1$. See Fig. 4 (b). We can compute the value of X as -1 if $f(i_{-1}) < j_{-1}$; 1 if $g(j_1) \le i_{-1}$; 0, otherwise. We know that $Y \ne -1$ by Property 1.1. Thus, Y = 1 if $g(j_1) \le i_{-1} + 1$; Y = 0, otherwise. Case 3 is depicted in Fig. 5. The value of X can be computed as we computed the value of X in the second subcase of Case 1.



Fig. 5. Case 3

We now show that all affected Ch-table entries are computed by Algorithm 1. It is easy to see that each affected entry Ch(i, j), $1 \le i \le m$ and $1 \le j < n$, falls into one of the following types by Lemmas 3 and 4. For each of the types we can easily check which cases in our algorithm compute Ch(i, j).

- 1. Ch(i, j) is a (-1)-boundary entry such that $Ch(i, j 1) \neq -1$: Ch(i, j) is computed by Case 1 if Ch(i, j 1) = 0; by Case 3, otherwise.
- 2. Ch(i, j) is an 1-boundary entry such that $Ch(i-1, j) \neq 1$: Ch(i, j) is computed by Case 2 if Ch(i-1, j) = 0; by Case 3, otherwise.
- 3. Ch(i, j) = 0 and either Ch(i 1, j) = -1 or Ch(i, j 1) = 1: Ch(i, j) is computed by Case 1 if Ch(i, j 1) = 0; by Case 2 if Ch(i 1, j) = 0; by Case 3, otherwise.

To compute DR' from DR, we first discard the first column from DR. Then, we run a modified version of Algorithm 1. The modifications to Algorithm 1 is to compute DR'(i, j) whenever we compute the value of Ch(i, j). Once Ch(i, j) is computed using Lemma 6, the fields in DR'(i, j) can be easily computed. That is, DR'(i, j).L = DR(i, j + 1).L + Ch(i, j) - Ch(i, j - 1) and DR'(i, j).U = DR(i, j + 1).U + Ch(i, j) - Ch(i - 1, j).

We can easily check that one iteration of the loop takes only constant time and that it increases at least one of i_{-1}, j_{-1}, i_1, j_1 by one. Hence, the time complexity of our algorithm is O(m+n).

Theorem 1. Let A and B be two strings of lengths m and n, respectively, and B' = B[2..n]. Given the difference representation DR between A and B, the difference representation DR' between A and B' can be computed in O(m + n) time.

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